

List of deficiencies in Connectivity/GNA applications and associated documents

[Rev- 0, W.e.f. 15/07/2024]

Document Type	Minor Deficiencies for which application will be reverted	Major Deficiencies for which application will be closed without revert and 20% application fee shall be forfeited as per regulation
<p align="center">1. Application Form</p>	<ul style="list-style-type: none"> i. Incorrect “Nature of applicant” has been opted in the application. ii. Breakup of energy source is not mentioned in application form. iii. Opting “Yes” in the field "Whether generating station is already connected to or intending to connect to intra-state transmission system?" in the application even if same is not applicable. iv. In case of entity connected to or intending to connect to intra-state transmission system, the copy of the application already made to STU or Intimation of Grant issued by STU to establish the quantum of Connectivity granted/sought to Intra-State transmission system is not submitted. v. Applying for connectivity to ISTS for the same capacity which is already connected to Intra-State Transmission System without submitting application/letter to STU or NoC of STU regarding surrendering of the STU connectivity on getting connected to ISTS. vi. Opting “Yes” in both the fields “Whether applied under Regulation 5.6 (sharing of terminal bay or the switchyard and the dedicated transmission lines, if any)” or “Whether connectivity applied under Regulation 5.7 (Two or more applicant sharing the common Dedicated Transmission Lines and terminal bay(s))” in the application. vii. For hybrid project where connectivity sought is less than installed capacity or application submitted under regulation 5.2 but undertaking regarding grid code compliance is not submitted with application- viii. Latitude and Longitude mentioned in application form does not correspond to the project location mentioned in application form. ix. Breakup of Solar/Wind/ESS components of the project is not mentioned in the application in case of Hybrid Projects. x. Mismatch in the details mentioned in the application vis-a-vis actual documents xi. Incomplete documents or missing pages in the documents submitted with application including land documents. 	<ul style="list-style-type: none"> i. Digital signature of the applicant is not mapped with the applicant company ii. Digital Signature is not Class 3 in nature. iii. Application submitted by a person not authorized through Board Resolution iv. Non-submission of following mandatory documents (as applicable): <ul style="list-style-type: none"> a. Board Resolution, in case of company (as per Regulation 5.8(v)) b. Affidavit (as per Regulation 5.8) c. LoA/PPA as applicable (as per Regulation 5.8(xi)(a)) d. Land Documents (as per Regulation 5.8(vii)(b) & 5.8(xi)(b)), Title Report or Land Undertaking (as per Para 3.2.2 of advisory) e. Land BG (as per Regulation 5.8(vii)(c) & 5.8(xi)(c)) f. RPPD Authorization Certificate (as per Regulation 5.8(vii)(a)) g. Sharing agreement (Format- CONN-SHARE as per Regulation 5.6 and 5.7), or Lead Generator agreement (Format- CONN-LEAD as per Regulation 4.1) h. NoC from STU in case of Intra state connected entity (not seeking Connectivity to ISTS) (as per Para 4.2 of advisory) i. CEA Unique Registration certificate (as per Regulation 5.8(vi))
<p align="center">2. Affidavit</p>	<ul style="list-style-type: none"> i. Stamp paper is not purchased in the name of applicant company/signatory person. ii. Affidavit is not as per FORMAT-AFFIDAVIT available on CTU website. iii. Affidavit has not been notarized. 	<ul style="list-style-type: none"> i. Affidavit has already been used in a previous application. ii. Special adhesive stamp / revenue stamp on plain paper, has been used instead of non-judicial stamp paper in the affidavit. iii. Affidavit has not been signed or has been submitted by a different person other than that who has digitally signed the application.

		iv. Company name mentioned in affidavit is different from applicant name of company.
3. Board Resolution	<ul style="list-style-type: none"> i. The date of Board Resolution submitted with the application and the one referred in Affidavit are different. ii. Digital signature of certifying authority on Board Resolution is not verifiable OR Physical signature of certifying authority is not present. iii. Resolution passed by sub-committee of Board of Directors or authorization letter has been submitted, however, Board's authorization accorded to the sub-Committee/person issuing authorization letter has not been submitted. iv. Quantum indicated in the BR is less than the cumulative quantum of the applications submitted against such Board resolution. 	<ul style="list-style-type: none"> i. The Board Resolution does not authorize the person to file the application on behalf of applicant company. ii. Board Resolution pertains to specific project other than the project for which application has been submitted. iii. Board Resolution pertains to company other than applicant company.
4. CEA Unique Registration certificate	<ul style="list-style-type: none"> i. CEA Unique Registration certificate has been submitted with application, but its number is not mentioned in application form. ii. The installed capacity mentioned in certificate is different than capacity mentioned in application form. iii. CEA Certificate has already been used in a previous application (not applicable for Enhancement in Connectivity applications). iv. CEA Registration certificate is not in name of applicant company. 	
5. Authorization Certificate	<ul style="list-style-type: none"> i. There is mismatch in details (such as name of RPPD, location of park etc) provided in the application and RPPD authorization certificate. ii. Any rectification required in the RPPD authorization certificate. 	<ul style="list-style-type: none"> i. Authorization pertains to a project/ Company other than the project / company for which application has been submitted. ii. Application submitted for lesser quantum than the authorized quantum, however, if the quantum of multiple applications submitted on the same day becomes equal to authorized quantum, the same may be accepted.
6. LoA/PPA	<ul style="list-style-type: none"> i. Connectivity has been sought more than eligible quantum as per LOA/PPA. ii. LoA has been issued on RTC basis for multi located REGS projects, however, details of each location and capacity at each location duly certified by REIA/distribution licensee has not been submitted. iii. In case of submission of an amendment/addendum to the LOA, original LoA along with all previous amendments/addendums have not been submitted. 	<ul style="list-style-type: none"> i. PPA/LOA for full quantum has already been used in a previous Connectivity application(s). ii. LOA/PPA issued in the name of company other than applicant company has been submitted. iii. LoA/PPA has been submitted from an entity other than REIA/Distribution Licensee/ authorized agency on behalf of Distribution licensee consequent to Tariff Based Competitive Bidding.
7. Land Bank Guarantee		<ul style="list-style-type: none"> i. The amount of Land BG is less than the requisite amount as per Regulation. ii. Stamp paper in the Land Bank Guarantee has not been purchased in the name of issuing bank. iii. Land BG has not been issued by any scheduled commercial bank recognised by the Reserve Bank of India.
8. Land documents	<ul style="list-style-type: none"> i. Notarized English or Hindi translations are not submitted along with the documents which are not in English or Hindi language. 	<ul style="list-style-type: none"> i. Some/all the land documents have been used in a previous Connectivity application.

	<ul style="list-style-type: none"> ii. There are some mismatches in Title report/ Land Undertaking via-a-vis land documents. iii. Capacity of the individual wind turbines considered for the project for calculation of the land requirement and plotting of wind turbine generators (WTGs) on the land parcels indicating the inter-WTG distance is not submitted. iv. The land agreement bearing the signature of same person on behalf of both first and second party OR signed by third party on the basis of POA, has been submitted without valid Power of Attorney or due authorisation for entering into the said agreement. v. Land Use Right Agreement not in line with format available at CTU website vi. 'Title Report' is not as per FORMAT-TITLE-REPORT available on CTU website. vii. Land undertaking is not as per FORMAT-UD-LAND available on CTU website. viii. Unique Registration Number and Date of Registration of the land documents are not available in some of the land documents. ix. Land rights does not correspond with the useful life of the project. 	<ul style="list-style-type: none"> ii. Documents corresponding to minimum land requirement for different type of RE projects as per advisory not submitted. iii. Any other document towards land not specified in the GNA Regulations such as Sub-lease deed / Leave and license agreement / Power of attorney / Agreement to Lease / Agreement to Sale / MoU etc has been submitted as part of land documents. iv. Land documents not registered with appropriate authority v. Land documents having reference to possession of land on a future date. (All land documents should bear clear, and existing vesting of land rights including the rights to use and possess the land in favour of applicant at the time of application)
9. NOC	<ul style="list-style-type: none"> i. NOC from concerned STU has not been submitted as per format. ii. Mismatch in quantum, company name, duration etc in NOC vis-a-vis application. 	
10. Sharing/Lead Agreement	<ul style="list-style-type: none"> i. Agreement is not in line with model format available at CTU website. ii. Agreement has not been executed on non-judicial stamp paper. iii. Signature of any party is missing on the agreement 	

Additional points

1. If case application has been reverted due to minor deficiencies and applicant changes the application Route in the revised application, the same shall be treated as major deficiency and application shall be closed.
2. Application will not be reverted on account of errors in Land BG.
3. If a deficiency which is not covered in any of the above lists is found during scrutiny of the application, it will be categorized as either major or minor depending on the nature of the discrepancy and appropriate action will be initiated upon first occurrence. CTU will add this newly identified deficiency to the appropriate list above and update the same on CTU website. Updated list shall be made effective from the subsequent month from the month in which the list has been uploaded on CTU website.